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# Improved Dynamic Performance and Hierarchical Energy Management of Microgrids With Energy Routing

Jameel Ahmad <sup>(D)</sup>, Muhammad Tahir <sup>(D)</sup>, *Member, IEEE*, and Sudip K. Mazumder <sup>(D)</sup>, *Fellow, IEEE* 

Abstract—In this paper, a hierarchical distributed energy 5 management of multimicrogrids (MMGs) with energy rout-6 ing is proposed. Existing control strategies for power shar-7 ing, transient performance, and economic-emission dis-8 patch in microgrids with distributed generators (DGs) fall 9 short in providing good dynamic performance. To address 10 this issue, a hierarchical distributed optimization is pro-11 12 posed by using top-down approach, which decomposes original economic-emission dispatch of MMG scenario into 13 individual microgrid (MG) and energy routing subproblems. 14 Distributed electric vehicle charging, intermittent photo-15 voltaic source, and battery energy storage system are in-16 corporated in the optimization model. Using multiagent sys-17 18 tem model for DG, a dynamic performance controller (DPC) is proposed for each MG to achieve improved performance 19 during transients. Convergence of optimization algorithm is 20 21 proved using Lyapunov theory. Performance evaluation results show that the proposed DPC for economic-emission 22 dispatch improves system performance significantly during 23 24 either load or generator switching.

Index Terms—Distributed generation, dynamic perfor mance, energy router, economic-emission dispatch, mul tiagent system (MAS).

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## I. INTRODUCTION

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J. Ahmad is with the Department of Electrical Engineering, University of Management and Technology, Lahore 54700, Pakistan, and also with the Department of Electrical Engineering, University of Engineering and Technology, Lahore, Lahore 54890, Pakistan (e-mail: jameel. ahmad@umt.edu.pk).

M. Tahir is with the Department of Electrical Engineering and Al-Khwarizmi Institute of Computer Science, University of Engineering and Technology, Lahore, Lahore 54890, Pakistan (e-mail: mtahir@ uet.edu.pk).

S. K. Mazumder is with the Department of Electrical and Computer Engineering, University of Illinois at Chicago, Chicago IL 60607 USA (e-mail: mazumder@uic.edu).

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use of photovoltaic (PV) systems, battery energy storage systems (BESS), wind turbines, and fuel cells, etc., has emerged as an attractive solution to meet energy demands of a small community in a distributed manner giving birth to community MGs. These MGs are becoming integral part of today's power system infrastructure giving birth to cluster of MGs or multimicrogrids (MMGs) [1]–[4] for distributed energy management.

An MG typically is a low-voltage power distribution network 41 comprising of different distributed generators (DGs), control-42 lable electric loads, and energy storage devices. MGs can op-43 erate both in islanded as well as grid-connected modes [5]. A 44 DG refers to a small power generation unit, which usually has 45 capacity of few megawatt. The DGs in an MG can communicate 46 with each other through a suitably designed communication net-47 work for optimal power sharing, energy state monitoring, and 48 distributed control. In recent years, the increased penetration 49 of these MGs has posed additional requirements and challenges 50 for power system operators, such as dynamic economic dispatch 51 (DED), efficient control of transients in case of source-load fluc-52 tuations, and power quality among many others. 53

Economic dispatch is commonly defined as the process of de-54 termining the optimal generation cost and meeting load demands 55 along with operational constraints. Different solutions have been 56 proposed for ED, such as DED, real-time ED (RTED), to name 57 a few. The authors in [6] have formulated DED problem as a two 58 stage primal-dual problem using Lagrangian relaxation. A pos-59 sible extension is distributed DED that can be implemented by 60 using multiagent system (MAS) architecture [7]. A relaxation of 61 economic dispatch (RED) problem using distributed Laplacian 62 based first-order dynamics is discussed in [8]. A key limita-63 tion of the above-mentioned ED solution approaches is inferior 64 dynamic performance in case of load or source transients. 65

Conventionally, the ED problem for traditional power systems 66 is performed on a slower time scale (see Fig. 1) independent of 67 automatic generation control (AGC) that is performed at faster 68 time scale. In case of an MG, load demand uncertainties as 69 well as the number of renewable sources are on the rise, which 70 result in frequent source-load transients as well as larger power 71 fluctuations. This demands for faster ED to not only improve the 72 economical efficiency of the system but also to bridge the time 73 gap between ED and AGC [9]. The authors in [10] have proposed 74 to integrate AGC and ED for real-time optimization, where 75 ED in the feedback loop is activated at discrete time instants. 76

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Fig. 1. Time scale of resource scheduler, ED and AGC.

However, this solution suffers from poor dynamic performancedue to ED activation at slower time scale.

DED that can respond to fast fluctuations in the generation as 79 well as load demand [11], for interconnected MGs [12], is there-80 81 fore highly desirable to optimize energy management, control energy flow among MGs and ensure supply-demand balance 82 [13]. To meet these objectives, conventional primal-dual algo-83 rithm based ED is extended in this paper to an augmented La-84 grangian based dynamic control allowing the implementation of 85 a dynamic performance controller (DPC). A hierarchical design 86 87 involving multiagent system (MAS) based MG control architecture and energy router based inter-MG power flow control, is 88 proposed for energy management in MMG scenario. Tradition-89 ally, multiagent-based architecture converges to average consen-90 sus [14], which effectively leads to equal load sharing among 91 92 DGs in an MG, which may lead to poor economic efficiency. To address this limitation, a relaxation of consensus constraint 93 is introduced. The proposed approach is equally useful for grid 94 connected as well as islanded modes of operation. However, it is 95 96 more effective for islanded mode of operation, since transients can be more pronounced in that case. Key contributions of this 97 paper are summarized below. 98

 99 1) Optimization problem formulation as hierarchical distributed optimization for MMGs using augmented Lagrangian based control algorithm for single MG to improve dynamic performance.

2) Multiagent communication system architecture for MG
 and energy router for controlling inter-MG power flow
 for MMGs.

3) Optimal power sharing among DGs integrated with re newable energy sources and distributed charging loads,
 such as electric vehicle (EV) along with economic emission dispatch.

The paper is organized as follows. In Section II-A, MMG system architecture is outlined. This section also provides communication framework using multiagents. Optimization problem
formulation for hierarchical distributed optimization for MMGs

with energy routers is presented in Section III. The problem is 114 further decomposed into multiple subproblems for simultaneous 115 optimization. An augmented Lagrangian based optimized control is provided for an MG with renewable and nonrenewable 117 energy sources in Section IV. Performance evaluation results 118 are provided in Section V, and conclusion in Section VI. 119

#### II. SYSTEM MODEL 120

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The key components of an MMG system architecture include 121 the MG itself along with the devices used to create connectivity 122 among multiple MGs. Next, we discuss these two components 123 to elaborate the system model. 124

### A. Single MG System Architecture

Each MG has certain number of DGs connected to it, which 126 are responsible for economic power sharing to meet the load 127 demand. In the present study, it is assumed that each MG has 128 traditional DGs, renewable energy sources e.g., PV panels along 129 with BESS. In addition, controllable loads are connected to each 130 MG, whose values can be configured. 131

MG employs hierarchical control strategy and uses primary 132 control to maintain operating voltage and frequency. Secondary 133 control provides active and reactive power control, which is 134 also termed as AGC, while tertiary control is used to implement 135 ED. To minimize generation cost, different DGs are operated 136 at optimal power generation point. It is assumed that the controllers installed with the renewable sources are responsible for 138 delivering energy to the system, but they do not take part in the ED. Rather the DGs with only nonrenewable energy sources 140 participate in the ED to improve the system performance during 141 transients. 142

For distributed implementation of ED in each MG, we select a set of N DGs and correspondingly define set  $\mathcal{N} = 144$  $\{1, 2, \ldots, N\}$ . Each DG<sub>i</sub> has an associated group of neighboring DGs, which are denoted by the set  $N_i \subset \mathcal{N}$ . The set  $N_i$  146 includes all of the DGs that have direct communication link with 147 DG<sub>i</sub>. The communication links between any pair of DGs are assumed to be bidirectional. This scenario can be modeled using 149 an undirected graph  $G_d = \{N, E\}$ , where E represents the set 150 of all communication links that exist among the DG pairs. 151

Now, for the above-mentioned graph G, define an adjacency 152 matrix  $A = A(G_d)$ , with  $A \in \mathbb{R}^{N \times N}$ . Each element  $a_{l,m} \in A$ , 153 is set equal to 1, when the link  $(l, m) \in E$ , that is the correspond-154 ing communication link exists between  $DG_l$  and  $DG_m$  and is set 155 to 0 otherwise. If  $a_{l,m} = 1$ , then DG<sub>l</sub> and DG<sub>m</sub> are considered to 156 be adjacent to each other. Now considering the communication 157 view point, the degree  $d_l$  of a generator DG<sub>l</sub> is defined as the to- 158 tal number of DGs that are adjacent to it and can be evaluated as 159  $d_l = \sum_{m \in N_l, m \neq l} a_{l,m}, \forall l.$  Define  $D \in \mathbb{R}^{N \times N}$  as the diagonal 160 matrix with corresponding entries  $d_l, l \in \{1, 2, ..., N\}$  and is 161 termed as the degree-matrix for the graph G. Now using the ad- 162 jacency and degree matrices, one can define the graph Laplacian 163 matrix, M as M = D - A. The Laplacian matrix M has all of 164 its row sums equal to zero, i.e.,  $M\mathbf{1} = 0$ , where 1 represents an 165 all ones vector. For proper communication among distributed 166 agents, it is assumed that the delay to transmit the parametric 167



Fig. 2. MGs with energy routing.

information among the neighboring DGs is much lower than the 168 interval required by the controller to update its output. 169

#### B. MMG Architecture Using Energy Router 170

An energy router operates at MG level and monitors, the 171 power produced and consumed in each MG and can communi-172 cate with the DGs of the MGs that are connected to it. Energy 173 routers are also responsible for power exchange as well as di-174 rection control of power flow between interconnected MGs. 175 In a typical cluster of MGs as shown in Fig. 2, all the MGs 176 177 are interconnected through energy routers via their power lines and communication interfaces. The MG DGs can communicate 178 at different levels. At lower level of the hierarchy DGs commu-179 nicate with other neighboring DGs within the MG, thus forming 180 the MAS architecture of the MG. At the higher level some of the 181 DGs in an MG communicate to energy routers, making power 182 exchange among multiple MGs possible. 183

Clustered MGs with DG are prone to power fluctuations due 184 to uncertainty, variability and forecast errors in renewable power 185 generation. Energy routers are being deployed to compensate for 186 shortage of power by injecting power from one MG to another 187 MG to meet its load demand by using energy routing. A hier-188 archical structure integrating DGs in MGs with energy routers 189 is shown in Fig. 2, where MG to MG power exchange via two 190 energy routers is depicted. 191

#### **III. PROBLEM FORMULATION FOR MMG ARCHITECTURE** 192 WITH ENERGY ROUTERS 193

In this section, a multiobjective optimization problem is for-194 mulated for network of MGs connected using energy routers. 195 First, component of the multiobjective cost function is for 196 economic-emission dispatch and is defined for each MG. Sec-197 ond, cost function accounts for PV power intermittency. Third, 198 cost component captures the price of energy exchange between 199 200 any pair of connect MGs.

#### A. Economic-Emission Cost 201

The ED problem is formulated as an optimization problem 202 with an objective function to minimize total generation cost of 203 DGs. For this purpose, a quadratic cost function  $C_i$  is defined 204

as below

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$$\sum_{i} C_i(p_i) = \sum_{i} \alpha_i p_i^2 + \beta_i p_i + \gamma_i, \quad \forall i.$$
 (1)

In (1),  $p_i \in \mathbf{p}$ ,  $\mathbf{p} \in \mathbb{R}^N$  represent the power delivered from gen- 206 erator i, while  $\alpha_i$ ,  $\beta_i$ , and  $\gamma_i$  are the generation cost coefficients 207 of the *i*th generator. The economic-emission dispatch problem 208 can be modeled by incorporating cost of reducing pollutant 209 emissions (e.g., reducing emissions of CO<sub>2</sub>, NOx, and SOx). 210 Among the three pollutants, NOx,  $CO_2$ , and SOx, considered in 211 literature, CO<sub>2</sub> is the most dominant. Pollutant emission cost, 212  $E_i(p_i)$ , follows quadratic cost [15], [16] and is given by 213

$$\sum_{i} E_i(p_i) = \sum_{i} a_i p_i^2 + b_i p_i + c_i, \quad \forall i$$
(2)

where a, b, and c are pollutant emission cost coefficients. Since 214 (1) and (2) are both quadratic functions, these can be combined 215 into one function by adding (1) and (2) making it an economic-216 emission cost function as given below 217

$$\sum_{i} D_{i}(p_{i}) = \sum_{i} A_{i} p_{i}^{2} + B_{i} p_{i} + C_{i}, \quad \forall i$$
(3)

where  $A_i = a_i + \alpha_i$ ,  $B_i = b_i + \beta_i$ , and  $C_i = c_i + \gamma_i$  are 218 economic-emission cost coefficients. 219

#### B. Supply–Demand Balance and Power Exchange 220 Between MGs 221

Conventional thermal generators and PV generators are con-222 sidered in this analysis. Now, define the following parameters. 223

$$p_{i,m}^{(i,m)}$$
:Power generated by ith DG in mth MG, 224 $p_m^{(PV)}$ :PV power from mth MG, 225 $p_m^{(B)}$ :Battery power from mth MG, 226 $p_m^{(B)}$ :Battery power from mth MG, 226 $p_{k,m}$ :Power flowing from kth MG to mth MG 227 $and governed by energy router, 228 $L_{dm} + L_{dm}^{(EV)}$ :Total load as sum of conventional load, 229 $L_{dm}$  and distributed EV load,  $L_{dm}^{(EV)}$  in 230 $mth MG, 231$  $\sum_k p_{k,m} - \sum_j p_{m,j}$ :Difference of total power received by 232$ 

*n*,*j*: Difference of total power received by  
*m*th MG from 
$$k$$
 MGs and total power  
delivered by *m*th MG to *j* MGs

The power supply-demand balance can be written as 235

$$\sum_{i} p_{i,m}^{(G)} + p_{m}^{(\text{PV})} + p_{m}^{(B)} + \left(\sum_{k} p_{k,m} - \sum_{j} p_{m,j}\right)$$
$$= L_{dm} + L_{dm}^{(\text{EV})}, \quad \forall m \quad (4)$$

Therein, an MG can inject power into another MG, if it has 236 surplus power. It is assumed that main grid will play a role of 237 passive constant grid and will not participate in optimization. 238 All interconnected MGs will exchange power and energy router 239 will ensure this power sharing. 240

## C. Cost of PV Power Intermittency

Distributed renewable energy resources may generate power 242 fluctuation because of uncertain generation behavior. It is 243

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(8b)

| TABLE I         |
|-----------------|
| BESS PARAMETERS |

| BESS | $\eta_p$ | $\eta_c$ | $\eta_{loss}$ | Min.<br>output(kW) | Max.<br>output(kW) |
|------|----------|----------|---------------|--------------------|--------------------|
| 1    | 0.15     | .01      | 0.1           | -50                | 50                 |
| 2    | .2       | .01      | .12           | -50                | 50                 |
| 3    | .3       | .0.01    | .1            | -50                | 50                 |

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assumed that each MG will have PV power sources, whose power output variation will also affect power sharing among interconnected MGs. To account for this fact, an intermittency cost component is introduced in the objective function in (8a). The operational and maintenance costs of PV are minimal and are ignored. Cost function for lumped PV power,  $p_m^{(PV)}$  in *m*th MG can be modeled as

$$C_m\left(p_m^{(\mathrm{PV})}\right) = m_1 p_m^{(\mathrm{PV})} + \epsilon_m \exp\left(m_2 - p_m^{(\mathrm{PV})}\right) \quad \forall m \quad (5)$$

where  $m_1 > 0$ ,  $m_2 > 0$ , and  $\epsilon_m > 0$ . The first term in (5) denotes the direct operating cost, while the second term denotes the penalty on curtailment of PV power generation [17].

#### 254 D. Operational Cost of BESS

A BESS is included and batteries are charged when buying price is cheaper and vice versa. Batteries are operational with reasonable depth of discharge and battery operational cost,  $B_m$ for lumped battery power,  $p_m^{(B)}$  in *m*th MG is modeled as [18],

$$\sum_{m} B_m \left( p_m^{(B)} \right) = \sum_{m} \eta_p p_m + \eta_c \left| p_m \right| + \eta_{\text{loss}} p_m^2, \quad \forall m \quad (6)$$

where  $\eta_p$  and  $\eta_c$  are electricity price and battery cost parameter, respectively, and  $\eta_{loss}$  is the loss cost parameter (see Table I).

#### 261 E. Energy Router and Cost of Power Exchange

In smart grid scenario, consumers can share and exchange energy-like information in the internet. The energy router is an emerging device concept [19]–[21] that is based on advanced power electronic techniques for control of energy exchange among MGs. Energy router in MMG scenario aims at increasing energy exchange efficiency and optimizes dispatch of energy between MGs.

We have modeled cost of power exchange between MGs 269 connected through energy router as a quadratic cost function. 270 Effectively, the quadratic cost function discourages power ex-271 change between any pair of MGs that are connected through an 272 energy router. This enables the system to keep efficiency loss to 273 minimum by reducing conversion losses incurred by the power 274 converter. In addition, it also reduces line losses by reducing 275 power flow between generators and loads that are far apart. 276 Now, the cost of power transfer, F from kth MG to mth MG 277 can be conveniently defined as 278

$$F = u_m p_{k,m}^2 \tag{7}$$

where  $u_m$  is cost coefficient for power transfer between MGs and  $p_{k,m}$  is power flowing from kth MG to mth. The value of  $u_m$  is taken as 1.

#### F. Optimization Problem Formulation for MMG

There is an underlying tradeoff among the conflicting objectives of reducing cost of pollutant emissions, reducing generation cost of thermal generators, reducing operational and curtailment cost of PV and cost of power transfer between MGs 286 with the help of energy router. The multiobjective economicemission dispatch problem with PV penetration and power exchange between MGs can now be formulated for all m MGs, 289 based on above details as follows. 290

min 
$$\sum_{m} \left\{ \sum_{i} D_{i} \left( p_{i,m}^{(G)} \right) + C_{m} \left( p_{m}^{(\text{PV})} \right) + B_{m} \left( p_{m}^{(B)} \right) + \sum_{k} F_{m} \left( p_{k,m} \right) \right\}$$
(8a)

subject to  $\mathbf{M}_{\mathbf{m}}\mathbf{p}_{\mathbf{m}} + \delta_m \ge 0$ ,

$$\sum_{i} p_{i,m}^{(G)} + p_m^{(\text{PV})} + p_m^{(B)} + \left(\sum_{k} p_{k,m} - \sum_{j} p_{m,j}\right)$$

$$= L_{dm} + L_{dm}^{(\mathrm{EV})},\tag{8c}$$

$$p_{i,m}^{\min} \le p_{i,m}^{(G)} \le p_{i,m}^{\max}$$
(8d)

where  $\mathbf{M_m}$  is the Laplacian matrix of DGs in *m*th MG and 291  $\mathbf{p_m}$  is a vector of powers generated from all DGs in *m*th MG. 292 The first inequality constraint in (8b) is termed as the relaxed 293 consensus constraint with relaxation coefficient  $\delta_m$ . When  $\delta_m = 294$ 0,  $\mathbf{M_m p_m} = 0$ , then this constraint becomes the consensus 295 constraint. The second equality constraint in (8c) is supply– 296 demand balance. The third constraint in (8d) is about upper and 297 lower power limits of DGs in an MG. A partial Lagrangian 298 function,  $\pounds_a$  for MMG case can now be derived from (8a) and 299 is given by 300

$$\min \left( \mathcal{L}_{a} \left( \mathbf{p}_{i,\mathbf{m}}^{(\mathbf{G})}, \mathbf{p}_{k,\mathbf{m}}, \mathbf{p}_{\mathbf{m}}^{(\mathbf{PV})}, \mathbf{p}_{\mathbf{m}}^{(\mathbf{B})}, \lambda \right) \right) =$$

$$\sum_{m} \left\{ \sum_{i} D_{i} \left( p_{i,m}^{(G)} \right) + B_{m} \left( p_{m}^{(B)} \right) + C_{m} \left( p_{m}^{(\mathbf{PV})} \right) \right\}$$

$$+ \sum_{k} F_{m} (p_{k,m}) \right\} + \sum_{m} \lambda_{m} \left\{ L_{dm} + L_{dm}^{(\mathbf{EV})} - \sum_{i} p_{i,m}^{(G)} - p_{m}^{(\mathbf{PV})} - p_{m}^{(B)} - \left( \sum_{k} p_{k,m} - \sum_{j} p_{m,j} \right) \right\} \right)$$

subject to  $\mathbf{M}_{\mathbf{m}}\mathbf{p}_{\mathbf{m}} + \delta_m \ge 0, \ p_{i,m}^{\min} \le p_{i,m}^{(G)} \le p_{i,m}^{\max}$  (9)

Problem in (9) can be decomposed into two subproblems, 301 namely MG subproblem and energy router subproblem that can 302 be solved independently. 303 1) *MG Subproblem:* This problem is solved for each MG separately. The objective in this case is to solve (10) and generate optimal powers from each DG i.e.,  $p_{i,m}^*$ , operate PV power sources at  $p_m^{*(PV)}$  and operate batteries with optimal charging/discharging powers at  $p_m^{*(B)}$ . Each MG subproblem involves solving a multiagent problem of its own and can be considered a second level of distributed optimization.

$$\min \sum_{i} D_{i} \left( p_{i,m}^{(G)} \right) + C_{m} \left( p_{m}^{(\text{PV})} \right) + B_{m} \left( p_{m}^{(B)} \right)$$
$$+ \lambda_{m} \left\{ L_{dm} + L_{dm}^{(\text{EV})} - \sum_{i} p_{i,m}^{(G)} - p_{m}^{(PV)} - p_{m}^{(B)} \right\}$$

subject to  $\mathbf{M}_{\mathbf{m}}\mathbf{p}_{\mathbf{m}} + \delta_m \ge 0, \ p_{i,m}^{\min} \le p_{i,m}^{(G)} \le p_{i,m}^{\max}$  (10)

2) Energy Router Subproblem:

$$\min F_m(p_{k,m}) - \lambda_m \left\{ \sum_k p_{k,m} - \sum_j p_{m,j} \right\}$$
(11)

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$$\max g(\lambda) = \pounds \left( \mathbf{p}_{i,m}^{*(\mathbf{G})}, \mathbf{p}_{k,m}^{*}, \mathbf{p}_{m}^{*(\mathbf{PV})}, \mathbf{p}_{m}^{*(\mathbf{B})}, \lambda \right)$$
(12)

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#### 313 G. Distributed Implementation

3) Dual Problem:

Each MG is considered as a node in higher level power net-314 work with a tree-like structure. In this scheme, the energy is 315 routed from the MG with surplus power generation capacity to 316 the MG, which has power shortage to supply its internal loads. 317 The shortage of power can happen due to unavailability or in-318 termittency of one of its DGs. An MG can receive power from 319 another MG with lower cost of production. Each MG problem 320 involves a multiagent problem and is solved independently by 321 each MG. MG subproblem (10) solves for  $p_{i,m}^{*(G)}, p_m^{*(PV)}, p_m^{*(B)}$ 322 and sends this information to dual problem (12) and it sends back 323 324 optimal incremental cost  $\lambda_m^*$ . Similarly, energy router problem (11) computes optimal  $p_{k,m}^*$  and sends this information to dual 325 problem (12) and it sends back optimal incremental cost  $\lambda_m^*$ 326 to router problem (11). Effectively, this problem decomposition 327 implements a hierarchical distributed optimization. The advan-328 tage of this scheme is its scalability and ease of implementation. 329 330 Many more clusters of MG and energy routers can be incorporated in a tree-like structure without much computational over-331 head. 332

## IV. DYNAMICS AND OPTIMIZED CONTROL OF MG SUBPROBLEM

For single MG, the optimization problem (8a) reduces to MG subproblem (10). The previously used notation for MG e.g., using subscript *m* can be dropped conveniently simplifying notation and therfore, in (10),  $\mathbf{M} \in \mathbb{R}^{N \times N}$  and  $\delta \in \mathbb{R}^N$ .  $L_d$  denotes the load demand in each MG. The first equality constraint is supply-demand balance. The second equality constraint in (10) is termed as the relaxed consensus constraint with relaxation coefficient  $\delta$ . When  $\delta = 0$ , Mp = 0, then this con-342 straint becomes the consensus constraint. By allowing  $\delta \geq 0$ 343 and correspondingly  $Mp + \delta \ge 0$ , consensus constraint is re-344 laxed, which in turn provides the flexibility to reduce the overall 345 generation cost. The last set of constraints in (10) are individual 346 generator minimum and maximum power generation limits. In 347 (10),  $p_m^{(\text{PV})}$  is power output from PV panels,  $p_m^{(B)}$  is power out-348 put from battery unit,  $L_d^{(EV)}$  is a distributed charging load due to 349 EVs, which also affects system transients. The problem in (10) 350 can be solved using the Lagrangian duality. In conventional ED 351 problem, a constrained optimization problem is formulated us-352 ing Lagrange multiplier theory. As shown later in this section, 353 this conventional approach is equivalent to integral-based con-354 trol, which results in poor dynamic performance. To improve the 355 dynamic performance, DPC-based optimized ED solution ap-356 proach is proposed. Due to the inherent integral control action, 357 the dynamic performance of optimized power generation may 358 not be satisfactory. The Lagrangian,  $\pounds_b$  for the optimization 359 problem (10) is defined as given below 360

$$\mathcal{L}_{b}\left(\mathbf{p}, \mathbf{p}_{\mathbf{m}}^{(\mathbf{PV})}, \mathbf{p}_{\mathbf{m}}^{(\mathbf{B})}, \lambda, \boldsymbol{\Phi}\right) = \sum_{i} D_{i}(p_{i}) + C_{m}\left(p_{m}^{(\mathbf{PV})}\right)$$
$$+ B_{m}(p_{m}^{(B)}) + \left\{\lambda\left\{L_{d} + L_{d}^{(\mathbf{EV})} - \sum_{i} p_{i} - p_{m}^{(\mathbf{PV})} - p_{m}^{(B)}\right\}$$
$$+ \Phi^{t}[\mathbf{Mp} + \delta]\right\} - \sum_{i} \left\{\theta_{i}^{\min}(p_{i} - p_{i}^{\min})$$
$$+ \theta_{i}^{\max}(p_{i}^{\max} - p_{i})\right\}, \forall i$$
(13)

In (13),  $\lambda$  and  $\Phi$  are the Lagrange multipliers (or dual variables) 361 for equality constraints in (10) associated with the load–supply 362 balance and consensus, respectively, and  $\theta_i^{\min}$  and  $\theta_i^{\max}$  are La-363 grange multipliers for inequality constraint in (10) for each DG. 364 Using (13), next the primal-dual dynamics is developed. The 365 optimal conditions for the optimization problem in (10) can be 366 achieved by taking partial derivatives of  $\pounds_b$  with respect to each 367 decision variable and setting each equation in (14) equal to zero. 368 Without considering the generator inequality constraints, a set 369 of first-order dynamic equations for single MG can be derived 370 as follows. 371

$$\dot{p}_{i} = k_{p_{i}} \left\{ D'_{i}(p_{i}) - \lambda + \Phi^{t} M_{i} \right\}, \quad \forall i$$

$$\dot{p}_{pv} = k_{p_{pv}} C'_{m} \left( p_{m}^{(\text{PV})} \right), \quad \dot{p}_{B} = k_{p_{B}} B'_{m} \left( p_{m}^{(B)} \right)$$

$$\dot{\lambda}_{i} = k_{\lambda_{i}} \left\{ L_{d} + L_{d}^{(\text{EV})} - \sum_{i} p_{i} - p_{m}^{(\text{PV})} - p_{m}^{(B)} \right\}^{+}, \forall i$$

$$\dot{\phi}_{i} = k_{\phi_{i}} \left\{ [\mathbf{Mp}]_{i} + \delta_{i} \right\}^{+}, \quad \forall i$$
(14)

In (14),  $M_i$  represents the *i*th column of  $\mathbf{M}$ ,  $[\mathbf{Mp}]_i$  denotes 372 the *i*th element of vector  $\mathbf{Mp}$  and  $\phi_i \in \Phi$ . The parameters  $k_{p_i}$ , 373  $k_{p_{pv}}, k_{p_B}, k_{\lambda_i}$ , and  $k_{\phi_i}$  are the step size scaling coefficients, 374 while the notation  $\{z\}^+$  in (14) is defined as max $\{0, z\}$ . It 375 should be realized that the generator power updates, based on 376 the dynamics given by the first expression in (14), are subject 377 378 to the minimum and maximum power constraints. Let  $u_i = \Phi^t M_i - \lambda$  is the control action. Using the expression for  $\lambda$  from 380 (14) and substituting to the control action  $u_i$ , the system dynamic 381 equations can be rewritten as

$$\dot{p}_{i} = k_{p_{i}} \left\{ D_{i}'(p_{i}) + u_{i} \right\}, \quad \forall i$$
  

$$\dot{p}_{pv} = k_{p_{pv}} C_{m}'\left(p_{m}^{(\text{PV})}\right), \quad \dot{p}_{B} = k_{p_{B}} B_{m}'\left(p_{m}^{(B)}\right)$$
  

$$u_{i} = \left\{ \Phi^{t} M_{i} - k_{\lambda_{i}} \int_{0}^{t} \left\{ L_{d} + L_{d}^{(\text{EV})} - \sum_{i} p_{i}(\tau) - p_{m}^{(\text{PV})}(\tau) - p_{m}^{(B)}(\tau) \right\}^{+} d\tau \right\}, \quad \forall i$$
  

$$\phi_{i} = k_{\phi_{i}} \int_{0}^{t} \left\{ [\mathbf{M}\mathbf{p}(\tau)]_{i} + \delta_{i} \right\}^{+} d\tau, \quad \forall i$$
(15)

The auxiliary variable  $u_i$  in (15) is in fact the control law, which 382 effectively implements an integral control to achieve desired 383 economic-emission dispatch. To improve the dynamic perfor-384 mance during power transients due to any variations in load 385 demand, a distributed DPCbased solution is developed. This is 386 387 achieved by modifying the system dynamics in (15), to construct an augmented Lagrangian function  $\pounds_c$  from (13) and is 388 389 given by

$$\begin{aligned} \pounds_{c} \left( \mathbf{p}, \mathbf{p}_{m}^{(\mathbf{PV})}, \mathbf{p}_{m}^{(\mathbf{B})} \lambda, \Phi, \tilde{\mathbf{p}} \right) \\ &= \sum_{i} (D_{i}(p_{i})) + C_{m} \left( p_{m}^{(\mathbf{PV})} \right) + B_{m}(p_{m}^{(B)}) \\ &+ k_{1}^{(i)} \left\{ \lambda \left( L_{d} + L_{d}^{(\mathbf{EV})} - \sum_{i} p_{i} - p_{m}^{(\mathbf{PV})} \right) - p_{m}^{(B)} \right) \\ &+ \Phi^{t} [\mathbf{Mp} + \delta] \right\} + \left( k_{2}^{(i)} \right) / 2 \left\{ \sum_{i} (p_{i} - \tilde{p}_{i})^{2} \right\} \\ &+ \left( k_{3}^{(i)} \right) / 2 (L_{d} + L_{d}^{(\mathbf{EV})} - \sum_{i} p_{i} - p_{m}^{(PV)} - p_{m}^{(B)})^{2} \quad (16) \end{aligned}$$

In (16),  $k_1^{(i)}$ ,  $k_2^{(i)}$ , and  $k_3^{(i)}$  are integral, derivative, and propor-390 tional gains, respectively, and  $\tilde{p}_i$  is an auxiliary state variable. 391 The integral term in (16) is same as in (13), while two more 392 terms are introduced in augmented Lagrangian. The functional-393 ity of these terms will be verified later in this section. It is worth 394 mentioning that the last term with gain  $k_3^{(i)}$ , in augmented La-395 grangian, is introduced using only supply-demand balance con-396 straint to respond to any variations in the load. Using augmented 397 Lagrangian in (16) the updated primal dual dynamics becomes 398

$$\dot{p}_{i} = k_{p_{i}} \left( D'_{i}(p_{i}) + u_{i} \right), \ \forall i, \quad \tilde{p}_{i} = k_{p_{i}} \left( p_{i} - \tilde{p}_{i} \right), \ \forall i$$
$$\dot{p}_{pv} = k_{p_{pv}} C'_{m} \left( p_{m}^{(\text{PV})} \right), \quad \dot{p}_{B} = k_{p_{B}} B'_{m} \left( p_{m}^{(B)} \right)$$
$$\dot{\lambda}_{i} = k_{\lambda_{i}} \left\{ L_{d} + L_{d}^{(\text{EV})} - \sum_{i} p_{i} - p_{m}^{(\text{PV})} - p_{m}^{(B)} \right\}^{+}, \ \forall i$$
$$\dot{\phi}_{i} = k_{\phi_{i}} \left\{ [\mathbf{Mp}]_{i} + \delta_{i} \right\}^{+}, \ \forall i$$
(17)

where *control law*  $u_i$  is given by

$$u_{i} = -k_{1}^{(i)} [\lambda - \Phi^{t} M_{i}] + k_{2}^{(i)} (p_{i} - \tilde{p}_{i}) - k_{3}^{(i)} \psi(\dot{\lambda}), \quad \forall i.$$
(18)

The first expression in (17) represents the power system dy- 400 namics for economic-emission dispatch and the associated con- 401 troller,  $u_i$ .  $k_{p_i}$  is a gain term associated with generators first-402 order power dynamics. The second expression is responsible 403 for PV power variation due to irradiance and temperature. The 404 third expression is responsible for battery power. The fourth 405 expression effectively implements the derivative control as dis- 406 cussed later, while the fifth and sixth expressions in (17) are 407 the same dual variable updates obtained in (14). The con-408 trol  $u_i$  in (18) implements a novel dynamic controller called 409 DPC, where  $\psi(.)$  is a linear functional mapping. The first term, 410 in (18), is the integral control action as discussed previously. 411 The last term implements a sort of proportional control action. 412 This can be verified using a simple linear functional mapping as 413  $\psi(\lambda) = \lambda$ . The  $\lambda$  is proportional to  $p_i$ , as verified using the third 414 expression of (17) and results in proportional control action. The 415 structure of the proposed DPC is, in fact, a modified version of 416 a basic PID controller. The second term in (18) implements the 417 derivative control action. The second term,  $k_2^{(i)}(p_i - \tilde{p}_i)$ , in (18) 418 converges to zero at equilibrium and equivalently, the auxiliary 419 variable  $\tilde{p}_i$  converges to  $p_i$ ,  $\forall i$ . This term is responsible for 420 implementing derivative control action in variable  $p_i$ , as veri- 421 fied below. For this purpose, applying Laplace transformation 422 to  $\dot{\tilde{p}}_i = \tilde{k}_{p_i} (p_i - \tilde{p}_i)$ , results in 423

$$\tilde{p}_i(s) = \frac{k_{p_i}}{s + \tilde{k}_{p_i}} p_i(s).$$
(19)

Substituting  $\tilde{p}_i(s)$  from (19) to the expression  $k_2^{(i)}(p_i - \tilde{p}_i)$ , 424 it becomes  $\frac{k_2^{(i)}}{s + \tilde{k}_{p_i}} sp_i(s)$ , which implements derivative control 425 in  $p_i$ , while the coefficient  $\frac{k_2^{(i)}}{s + \tilde{k}_{p_i}}$  implements low pass filter- 426 ing. Choosing a large value of gain parameter  $\tilde{k}_{p_i}$  increases the 427 bandwidth of derivative control. 428

#### A. Distributed Consensus Algorithm

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Our algorithm is distributed in the sense that no leader or mas- 430 ter nodes are needed, while all the nodes (generators) conduct lo- 431 cal computation and communicate with their neighbors. The so- 432 lution to the optimal control problem given in (17) can be found 433 in an iterative procedure by exchanging the primal and dual vari-434 ables among the DG agents for their computations. By choosing 435 small enough positive values for  $k_{p_i}, k_{p_{pv}}, k_{p_B}, k_{p_i}, k_{\lambda_i}$ , and  $k_{\phi_i}$ 436 in (17), the update (17) would converge to the optimal point of 437 the problem [22]. However, using (17) requires each node having 438 access to certain global information of the MG's load demand 439 and power generation of all DGs and values for PV generation. 440 To make the algorithm (17) distributed, instead of using global 441 information, DG agents are allowed to use local value and share 442 this information with their neighboring agents and try to achieve 443 consensus. 444

Each DG agent gathers information locally and communicate 445 with other neighboring DG agents in the MG. The Laplacian 446 matrix is responsible for connectivity among DGs. The infor-447 448 mation among the DGs is exchanged using wireless link (e.g., WiFi or Wireless Broadband) based communication interface. 449 Agents communicate at the application level and any agent com-450 munication language (ACL) can be employed as the application 451 layer protocol. For instance, FIPA-ACL [23] one possible ACL 452 that can be used for this purpose. 453

#### 454 B. Proof of Convergence

The convergence of DPC-based DED can be analyzed us-455 ing Lyapunov stability theory. For that purpose, let us define 456  $\mathbf{\bar{p}} = [\mathbf{p}^t \ \mathbf{\tilde{p}}^t \ \mathbf{p}_m^{(\mathbf{PV})} \ \mathbf{p}_m^{(\mathbf{B})}]^t$ . It is straightforward to verify that 457 the augmented Lagrangian  $\pounds_c(\mathbf{p}, \mathbf{p}_{\mathbf{m}}^{(\mathbf{PV})}, \mathbf{p}_{\mathbf{m}}^{(\mathbf{B})}, \lambda, \Phi, \tilde{\mathbf{p}})$  in (16) 458 is a convex function of  $\bar{\mathbf{p}}$ , while it is concave in  $\lambda$  and  $\Phi$ . In 459 addition, we minimize  $\mathcal{L}_c(\bar{\mathbf{p}}, \lambda, \Phi)$  with respect to  $\bar{\mathbf{p}}$ , while it 460 is maximized for  $\lambda$  and  $\Phi$ . Using this fact and combining it 461 462 with first-order convexity condition [24], we obtain following expression. 463

$$\dot{\bar{p}}_i = -k_{\bar{p}_i} \frac{\partial \pounds_c}{\partial \bar{p}_i}, \quad \dot{\lambda} = k_\lambda \frac{\partial \pounds_c}{\partial \lambda}, \quad \dot{\phi}_i = k_{\phi_i} \frac{\partial \pounds_c}{\partial \phi_i}, \forall i.$$
(20)

464 In (20),  $k_{\bar{p}_i}$  can be either  $k_{p_i}$  or  $\bar{k}_{p_i}$ . Now using the second-order 465 condition for convexity, we obtain

$$\frac{\partial^2 \pounds_c}{\partial \bar{p}_i^2} \ge 0, \quad \frac{\partial^2 \pounds_c}{\partial \lambda^2} \le 0, \quad \frac{\partial^2 \pounds_c}{\partial \phi_i^2} \le 0 \quad \forall i.$$
(21)

466 For stability analysis, we use Lyapunov theory. Specifically, we467 use the following candidate Lyapunov function to prove the468 stability of the proposed dynamic controller.

$$V(\bar{p},\lambda,\Phi) = \begin{bmatrix} g(\bar{p}) & g(\lambda) & g(\Phi) \end{bmatrix} Q \begin{bmatrix} g(\bar{p}) \\ g(\lambda) \\ g(\Phi) \end{bmatrix}.$$
 (22)

The Lyapunov function, in (22), is based on Krasovskii's method [25], where g(.) is a functional mapping of state dynamics as defined later in this section. For the candidate function in (22), the matrix Q is required to be positive definite i.e., Q > 0 and  $Q^t = Q$ . A possible choice for Q, which fulfills the above mentioned requirements is given by

$$Q = \frac{1}{2} \begin{bmatrix} \Pi^{-1} & 0 & 0\\ 0 & k_{\lambda}^{-1} & 0\\ 0 & 0 & \Gamma^{-1} \end{bmatrix}.$$
 (23)

475 In (23),  $\Pi$  and  $\Gamma$  are diagonal matrices with appropriate dimen-476 sions, where  $k_{\phi_i}$  are diagonal entries of matrix  $\Gamma$ , while  $k_{p_i}$  and 477  $\tilde{k}_{p_i}$  are diagonal entries of matrix  $\Pi$ . Next time derivative of Lyapunov function  $V(\bar{p}, \lambda, \Phi)$ , results in

$$\dot{V}(\bar{p},\lambda,\Phi) = \begin{bmatrix} \dot{\bar{p}} \dot{\lambda} \dot{\Phi} \end{bmatrix} \begin{bmatrix} \frac{\partial g(\bar{p})}{\partial \bar{p}} & \frac{\partial g(\lambda)}{\partial \bar{p}} & \frac{\partial g(\Phi)}{\partial \bar{p}} \\ \frac{\partial g(\bar{p})}{\partial \lambda} & \frac{\partial g(\lambda)}{\partial \lambda} & \frac{\partial g(\Phi)}{\partial \lambda} \\ \frac{\partial g(\bar{p})}{\partial \Phi} & \frac{\partial g(\bar{p})}{\partial \Phi} & \frac{\partial g(\Phi)}{\partial \Phi} \end{bmatrix} \\ Q + Q \begin{bmatrix} \frac{\partial g(\bar{p})}{\partial \bar{p}} & \frac{\partial g(\bar{p})}{\partial \lambda} & \frac{\partial g(\Phi)}{\partial \Phi} \\ \frac{\partial g(\lambda)}{\partial \bar{p}} & \frac{\partial g(\lambda)}{\partial \lambda} & \frac{\partial g(\lambda)}{\partial \Phi} \\ \frac{\partial g(\Phi)}{\partial \bar{p}} & \frac{\partial g(\Phi)}{\partial \lambda} & \frac{\partial g(\Phi)}{\partial \Phi} \end{bmatrix} \begin{bmatrix} \dot{\bar{p}} \\ \dot{\lambda} \\ \dot{\Phi} \end{bmatrix}.$$
(24)

Now let us define  $g(\bar{p}) = \dot{p}, g(\lambda) = \dot{\lambda}, g(\Phi) = \dot{\Phi}$ . Combining 479 this, with first- and second-order convexity conditions given in 480 (20) and (21), results in the following expression. 481

$$\begin{bmatrix} \frac{\partial g(\bar{p})}{\partial \bar{p}} & \frac{\partial g(\bar{p})}{\partial \lambda} & \frac{\partial g(\bar{p})}{\partial \Phi} \\ \frac{\partial g(\lambda)}{\partial \bar{p}} & \frac{\partial g(\lambda)}{\partial \lambda} & \frac{\partial g(\lambda)}{\partial \Phi} \\ \frac{\partial g(\Phi)}{\partial \bar{p}} & \frac{\partial g(\Phi)}{\partial \lambda} & \frac{\partial g(\Phi)}{\partial \Phi} \end{bmatrix} = \frac{1}{2} \begin{bmatrix} \frac{-\partial^2 \mathcal{L}_c}{\partial \bar{p}^2} & \frac{-\partial^2 \mathcal{L}_c}{\partial \lambda \partial \bar{p}} & \frac{-\partial^2 \mathcal{L}_c}{\partial \lambda \partial \bar{p}} \\ \frac{\partial^2 \mathcal{L}_c}{\partial \bar{p} \partial \lambda} & \frac{\partial^2 \mathcal{L}_c}{\partial \lambda \partial \Phi} & \frac{\partial^2 \mathcal{L}_c}{\partial \Phi \partial \lambda} \\ \frac{\partial^2 \mathcal{L}_c}{\partial \bar{p} \partial \Phi} & \frac{\partial^2 \mathcal{L}_c}{\partial \lambda \partial \Phi} & \frac{\partial^2 \mathcal{L}_c}{\partial \Phi^2} \end{bmatrix}.$$
(25)

Substituting (25) to (24), we obtain  $\dot{V}(\bar{p},\lambda,\Phi) = \begin{bmatrix} \dot{p} & \dot{\lambda} & \dot{\Phi} \end{bmatrix}$ 

$$\begin{bmatrix} \frac{-\partial^{2} \mathcal{L}_{c}}{\partial \bar{p}^{2}} & \frac{-\partial^{2} \mathcal{L}_{c}}{\partial \lambda \partial \bar{p}} & \frac{-\partial^{2} \mathcal{L}_{c}}{\partial \Phi \partial \bar{p}} \\ \frac{\partial^{2} \mathcal{L}_{c}}{\partial \bar{p} \partial \lambda} & \frac{\partial^{2} \mathcal{L}_{c}}{\partial \lambda^{2}} & \frac{\partial^{2} \mathcal{L}_{c}}{\partial \Phi \partial \lambda} \\ \frac{\partial^{2} \mathcal{L}_{c}}{\partial \bar{p} \partial \Phi} & \frac{\partial^{2} \mathcal{L}_{c}}{\partial \lambda \partial \Phi} & \frac{\partial^{2} \mathcal{L}_{c}}{\partial \Phi^{2}} \end{bmatrix} \begin{bmatrix} \dot{\bar{p}} \\ \dot{\lambda} \\ \dot{\bar{q}} \end{bmatrix}.$$
(26)

The stability of DPC is established by using the second-order 483 condition for convexity in (26). In particular, we get  $\dot{V}(\bar{p}, \lambda, \Phi)$  484  $\leq 0$  by using the LaSalle's invariance principle [25]. 485

#### V. PERFORMANCE RESULTS 486

## A. MG1: Single MG

For the optimized power flow control from DG, a network 488 of four DGs [26] is studied. Each DG consists of a controller, 489 an energy source, and a power converter. The proposed DPC 490 algorithm is applicable for both grid connected as well as islanded mode of operation. Connectivity among four DGs in 492 MG1 is illustrated in Fig. 3. For given connectivity graph among DGs in MG1, corresponding Laplacian matrix  $M_1$  for MG1 is given by 495

$$M_{1} = \begin{bmatrix} 1 & -1 & 0 & 0 \\ -1 & 3 & -1 & -1 \\ 0 & -1 & 2 & -1 \\ 0 & -1 & -1 & 2 \end{bmatrix}.$$
 (27)

Maximum power generation limits for four generators are 496 tabulated in Table II. Generator minimum power limit,  $p_i^{\min}$  is 497 set to 0.5 MW for all generators. It is assumed that generators 498 are conventional thermal power units with cost parameters given 499 in Table II, which are obtained from [27]. Emission cost parameters in Table II are derived from [15]. A total power demand 501 of 4 MW is assumed. Information among the DGs is exchanged 502 using an IEEE 802.15.4 based communication interface, which 503 provides data rate of 250 Kbps. Step size scaling coefficients 504

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TABLE II GENERATION, EMISSION COST COEFFICIENTS, AND SYSTEM PARAMETERS

|     | Parameter.        | DG1                     | DG2                     | DG3                     | DG4                     |
|-----|-------------------|-------------------------|-------------------------|-------------------------|-------------------------|
|     | α                 | $1 \times 10^{-5}$      | $1.5 \times 10^{-5}$    | $2.5 \times 10^{-5}$    | $2 \times 10^{-5}$      |
|     | β                 | $5 \times 10^{-3}$      | $2 \times 10^{-3}$      | $2.5 \times 10^{-3}$    | $4 \times 10^{-3}$      |
|     | $\gamma$          | 0.10                    | 0.15                    | 0.09                    | 0.075                   |
|     | a                 | $4.091 \times 10^{-3}$  | $2.543 \times 10^{-3}$  | $4.258 \times 10^{-3}$  | $5.426 \times 10^{-3}$  |
|     | Ь                 | $-5.554 \times 10^{-3}$ | $-6.047 \times 10^{-3}$ | $-5.094 \times 10^{-3}$ | $-3.550 \times 10^{-3}$ |
| MG1 | c                 | $6.490 \times 10^{-3}$  | $5.638 \times 10^{-3}$  | $4.586 \times 10^{-3}$  | $3.380 \times 10^{-3}$  |
|     | Max Power (MW)    | 2.0                     | 1.5                     | 1.8                     | 2.5                     |
|     | Max PV Power (MW) | 1                       |                         |                         |                         |
|     | Load Demand (MW)  | 1 - 4                   |                         |                         |                         |
|     | EV Load (kW)      | 500                     |                         |                         |                         |
|     | $\alpha$          | $1.5 \times 10^{-5}$    | $2.5 \times 10^{-5}$    | $2 \times 10^{-5}$      |                         |
|     | $\beta$           | $1.8 \times 10^{-3}$    | $2.4 \times 10^{-3}$    | $4 \times 10^{-3}$      |                         |
|     | $\gamma$          | 0.120                   | 0.080                   | 0.075                   |                         |
|     | a                 | $2.543 \times 10^{-3}$  | $4.258 \times 10^{-3}$  | $5.426 \times 10^{-3}$  |                         |
|     | b                 | $-6.047 \times 10^{-3}$ | $-5.094 \times 10^{-3}$ | $-3.550 \times 10^{-3}$ |                         |
| MG2 | c                 | $5.638 \times 10^{-3}$  | $4.586 \times 10^{-3}$  | $3.380 \times 10^{-3}$  |                         |
|     | Max Power (MW)    | 1.6                     | 1.5                     | 1.9                     |                         |
|     | Max PV Power (MW) | 1                       |                         |                         |                         |
|     | Load Demand (MW)  | 1 - 4                   |                         |                         |                         |
|     | EV Load (kW)      | 400                     |                         |                         |                         |



Fig. 3. Communication among DGs and power exchange between MGs via energy router.

505 are configured with constant values as  $k_{p_i} = 2000$ ,  $\tilde{k}_{p_i} = 400$ , 506  $k_{\phi_i} = 20$ ,  $\forall i$ , and  $k_{\lambda} = 30$ .

1) Performance Analysis for DPC: In this case, the perfor-507 mance of integrator-based controller is compared with the pro-508 posed DPC-based optimized power generation for ED. For this 509 scenario, the parameter  $\delta$  is set equal to one-half of the load 510 demand. This setting restricts the maximum generated power 511 difference between any pair of generators not more than half of 512 the load demand. The performance of integral control for ED 513 is shown in Fig. 4. It can be observed from Fig. 4 that the sys-514 tem response exhibits poor transient performance. There is high 515 overshoot at the beginning as system tries to adjust the power 516 from different generation units. It takes approximately 0.60 s to 517 reach steady state. Transients are introduced at 2 and 4 s time in-518 stances, by exposing the system to step changes in load demands 519 i.e., from  $\frac{1}{2}L_d$  to Ld and then to  $\frac{3}{4}L_d$ , respectively. For these 520 step changes in the load demand, poor transient performance is 521



Fig. 4. Optimal power generation based on integral type optimized control.

observed again. Same load transient scenario is applied to optimized DPC and the response is shown in Fig. 5. Values for DPC 523 gains used for this case are tabulated in Table III. From Fig. 5, 524 a significant improvement is observed in transient performance 525 and system settles in less than 0.2 s. In addition, overshoot is 526 also reduced considerably, as shown in Fig. 5. 527

2) Effect of PV Power Variation: To further explore the transistent response of proposed solution, PV power variation is taken 529 into account. A step change in PV power from its nominal generation of 1.5-1.2 MW is tested. The DGs power generation 531 due to PV power variation at 1-2 s interval is adjusted. Load  $L_d$  532 is reduced at 3 s instance from 4 to 3 MW and corresponding 533 DGs power generation is reduced, resulting in reduced thersal generation cost and emission cost. Fig. 6 clearly shows a 535 superior performance of the optimized control under load and 536 source PV power variation, while resulting in improved dynamic 537 performance. 538



Fig. 5. Optimal power generation based on DPC type optimized control.

TABLE III GAIN VALUES FOR DPC

| Parameter     | DG1   | DG2  | DG3   | DG4   |
|---------------|-------|------|-------|-------|
| $k_{3}^{(i)}$ | 0.006 | .006 | 0.008 | 0.008 |
| $k_2^{(i)}$   | .01   | .01  | .01   | .01   |
| $k_1^{(i)}$   | .1    | .18  | .2    | .06   |



Fig. 6. Effect of PV power intermittency and load variation on DG power output.

3) Effect of Time Varying EV Load on Integral and Optimized 539 Controller: In this case, the measured data from [28] is used to 540 model load of an EV charging and discharging for a Nissan Leaf 541 EV, having 50 kW normal charging load to get further insight 542 on the transient behavior of proposed solution. The results are 543 shown in Fig. 7(a) and (b). When the charging load of 5 MW 544 for a fleet of Nissan Leaf EVs is applied for up to 1.5 s, the 545 generators share this load. A step change in load is introduced 546

at 1.5 s. Performance of integral control is compared with that 547 of proposed DPC. As depicted in Fig. 7, integral controller has 548 poor transient performance compared to DPC. After 2 s, the 549 EV batteries are discharged, the load demand on generators 550 due to EV's batteries is also adjusted and followed closely by 551 the DGs. From these results, it is obvious that DPC has supe-552 rior dynamic performance compared to integral controller and 553 distributed optimized control is adapting time varying load con-554 ditions. In a different scenario with the presence of time varying 555 EV charging load, DG power adjustment, emission cost, and 556 generation cost variations are studied. In this case, EV charging 557 load, LEV = 50 kW is varied at t = 2 s for a single Nissan Leaf 558 EV along with normal load of  $L_d = 500$  kW applied to MG. 559 Fig. 8(a)–(c) shows the results for DG power adjustment, emis-560 sion cost, and generation cost of the generators. It is obvious 561 from these results that distributed optimization is working and 562 dynamics in each case is following time varying EV load. 563

## B. MMG With Energy Routing

1) Power Sharing Between Two MGs: Two MGs, MG1 and 565 MG2 have been considered in this case. The connectivity between MG1 and MG2 having four and three DGs, respectively, 567 is illustrated in Fig. 3. For given connectivity among DGs in 568 MG1 the corresponding Laplacian matrix  $M_1$  is given in (27) 569 and for that of MG2 ( $M_2$ ) is 570

$$M_2 = \begin{bmatrix} 2 & -1 & -1 \\ -1 & 2 & -1 \\ -1 & -1 & 2 \end{bmatrix}.$$
 (28)

Various parameters such as generation and emission cost coef- 571 ficients, power limits of various DGs, PV power and EV loads 572 are provided in Table II. Each MG has a PV power source with 573 maximum power rating of 1 MW. Simulation results are pro- 574 vided in Fig. 9. MG1 is supplying a load of 4 MW when there 575 is an additional 1 MW demand from 10 to 30 s as shown in 576 Fig. 9(a). MG1 can meet this load demand because its DGs can 577 provide additional power. Some of this power will be provided 578 by DGs of MG1 but not all due to its increased generation cost. 579 At this instance energy router allows power flow from MG2 to 580 MG1, due to lower generation cost at MG2 (see MG2 param-581 eters in Table II). MG2 DGs will generate additional power to 582 partly meet the load demand of MG1 as shown in Fig. 9(b). As 583 a result, power flows from MG2 to MG1 as shown in Fig. 9(c). 584

2) Energy Output/Demand and Comparison With [17]: In 585 this case, we compare performance of our proposed control 586 with Fig. 5(a) from [17]. The basis of comparison is with (31) 587 in [17], which is quadratically augmented Lagrangian function. 588 A distributed consensus based ADMM algorithm is developed 589 by authors and results are obtained for optimal powers [17]. In 590 our case, the choice of Lagrangian function is given by (16). 591 We consider two MGs (energy bodies in [17]) MG1 with four 592 generators (participants in [17]) and MG2 with three generators 593 (participants in [17]). The rating of the generators are similar 594 to those used by [17]. Results of this comparison are shown in 595 Fig. 10. Fig. 10(a) and (b) corresponds to Fig. 5(a) in [17]. It 596 is clear from Fig. 10(a) that dynamic performance of ADMM 597



Fig. 7. (a) Integral controller performance with time varying EV load. (b) Optimized controller performance with time varying EV load.

![](_page_9_Figure_3.jpeg)

Fig. 8. (a) DG power adaptation with time varying EV load. (b) Emission cost with time varying EV load. (c) Generation cost with time varying EV load.

![](_page_9_Figure_5.jpeg)

Fig. 9. Power sharing between two MGs with increased load demand in MG1(a) MG1 DG powers and load. (b) MG2 DG powers and load. (c) Power from MG2 to MG1.

is not good and there are power oscillations around the optimal point. We can also observe this in Fig. 5(a) in [17] that there is high overshoot before reaching optimal value. To remove these oscillations, the parameters are tuned further and results are provided in Fig. 10(b). Consensus among generators is achieved but no of iterations to achieve this are increased and there is high overshoot similar to Fig. 5(a) in [17]. Result for proposed control is given in Fig. 10(c), which shows clear improvement in dynamic performance and objective of consensus 606 is also achieved in fewer iterations. 607

3) Plug and Play Capability Verification and Comparison 608 With [17]: To verify Plug-and-Play (PnP) capabilities, DG1 and 609 DG3 in MG1 are intentionally disconnected at t = 16.67 s and 610 t = 50 s (their communication links are also interrupted), until 611

Q5

![](_page_10_Figure_1.jpeg)

Fig. 10. Comparison with [17] power output/demand (a) DG powers using' in [17]. (b) DG powers using ADMM in [17] with better tuning. (c) DG powers using proposed DPC control.

![](_page_10_Figure_3.jpeg)

Fig. 11. PnP capability verification and comparison with [17]. (a) MG1 DG powers and load with DG1 and DG3 plug-out/plug-in. (b) MG2 DG powers and load. (c) Power from MG2 to MG1.

612 t = 33.34 s and t = 83.34 s, when these DGs join back MG1 again. Fig. 11 depicts the performance of the proposed con-613 troller for this scenario. MG1 supplies its normal load of 4 MW 614 and MG2 supplies its normal load of 3 MW. When DG3 is dis-615 connected at t = 16.67 s, MG1's DGs can supply only 3 MW as 616 shown in Fig. 11(a). MG2 DGs increase their power production 617 between t = 16.67 s and t = 33.34 s as shown in Fig. 11(b) and 618 about 1 MW is supplied to MG1. 1 MW is routed from MG2 619 to MG1 as shown in Fig. 11(c). A similar scenario is shown 620 when DG1 is plugged out at t = 50 s and then plugged in at 621 t = 83.34 s. MG2 DGs increase their power production between 622 t = 50 s and t = 83.34 s. This PnP feature clearly indicates that, 623 once DG1 or DG3 leaves MG1, other DGs in MG2 increase their 624 supplied active powers proportional to their rated values meeting 625 total load demand. Comparing this PnP feature with Fig. 7(a) 626 of [17], it is obvious that there are transients in Fig. 7(a) at 627 plug-out/plug-in instants in [17]. However, proposed solution 628 has smooth power transitions when DGs are plug-out/plug-in 629 and shows the better dynamic performance of our control. 630

#### 631

## VI. CONCLUSION

The problem of optimized control for DG and their ED is considered for a single as well as MMG scenario. Specifically, as a first step augmented Lagrangian approach along with MAS model, is used to design a DPC, which provides improved transient performance for single MG scenario. The system model 636 integrates renewable sources, such as PV as well as EVs, to 637 further study the performance improvement provided by DPC. 638 The proposed solution for single MG is extended to MMG by 639 introducing energy router concept. The performance evaluation 640 results also show the performance improvement during load 641 (e.g., EV) as well as source (e.g., PV) transients. The optimal 642 power flow in case of an MMG is achieved using distributed 643 optimization. We anticipate that the scaling of proposed MMG 644 architecture will lead to Energy-Internet. 645

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![](_page_11_Picture_24.jpeg)

Jameel Ahmad received the B.Sc. degree in 735 electrical engineering from University of Engi-736 neering and Technology, Peshawar, Peshawar, 737 Pakistan, in 1993, the M.S. degree in systems 738 engineering from Quaide Azam University, Is-739 lamabad, Pakistan, in 1996, and the M.Sc. de-740 gree in electrical engineering from the University 741 of Southern California, Los Angeles, CA, USA, 742 in 2005. He is currently working toward the Ph.D. 743 degree in electrical engineering at the University 744 of Engineering and Technology, Lahore, Lahore, 745 Pakistan. 746

From 2007 to 2010, he was with the Qualcomm and Broadcom Corporation, San Diego, CA, USA. Since 2010, he has been an Assistant 748 Professor with the Department of Electrical Engineering, School of Engineering, University of Management and Technology, Lahore, Pakistan. 750 His research interests include control and optimization of smart microgrids for energy internet. 752

Prof. Ahmad is a reviewer of numerous IEEE journals and 753 conferences. 754

![](_page_11_Picture_28.jpeg)

Muhammad Tahir (M'02) received the Ph.D. de-756gree in electrical and computer engineering from757the University of Illinois at Chicago, Chicago, IL,758USA, in 2008.759

He is currently a Professor with the De-760 partment of Electrical Engineering, University of 761 Engineering and Technology, Lahore, Lahore, 762 Pakistan. His research interests include wireless 763 sensor networks, delay tolerant networks, distributed resource optimization for wireless net-765 works, and real-time wireless multimedia net-766

works. Further details about his current research activities can be found at www.uet.edu.pk/pp/ee/~mtahir/

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![](_page_11_Picture_33.jpeg)

Sudip K. Mazumder (S'97–M'01–SM'03–F'16) 770 received the Ph.D. degree in electrical and com-771 puter engineering from Virginia Tech, Blacks-772 burg, VA, USA, in 2001.He is currently a Pro-773 fessor with the University of Illinois at Chicago, 774 Chicago, IL, USA, since 2001, and is the Presi-775 dent of NextWatt LLC since 2008. He has more 776 than 25 years of professional experience and 777 has held R&D and Design Positions in lead-778 ing industrial organizations and has served as 779 a Technical Consultant for several industries. He 780

has authored or coauthored more than 210 refereed papers, delivered 781 more than 90 keynote/plenary/distinguished invited presentations, and 782 received about 50 sponsored research grants, since joining UIC. 783

Dr. Mazumder is a Distinguished Lecturer for IEEE POWER ELEC- 784 TRONICS SOCIETY (PELS) and a Chair for PELS Technical Committee on Sustainable Energy Systems. He is the new Editor-at-Large for the IEEE TRANSACTIONS ON POWER ELECTRONICS (2019). 787

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